

Docket No.: 32128-187212

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Confirmation No.: 6037

Karlheinz Winter et al.

Art Unit: 1732

Application No.: 10/690,498

Examiner: Mark Eashoo, Ph.D.

Filed: October 23, 2003

Customer No.: 26694

For: EXTRUSION OF PEROXIDE

PATENT AND TRADEMARK OFFICE

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## **SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. A copy of reference **BA** is enclosed.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, AND after the mailing date of the first Office Action on the merits, whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Pursuant to 37 CFR § 1.97 (c)(2), the required fee of \$180.00 is transmitted herewith.

89/15/2006 MAHMED1 800000082 220261 1069049

Applicant submits herewith a copy of the cited foreign patent document@n@ccordance with 37 CFR 1.98(a)(2). An English language abstract of the non-English language patent document is also attached in order to satisfy the statement of relevance under 37 C.F.R. 1.98(a)(3)(i).

Also enclosed is a Russian Office Action, issued in a counterpart application, which refers to the relevant portions of the reference. However, no English language translation of this Action is enclosed.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 22-0261, under Order No. 32128-187212. A Fee Transmittal is enclosed.

Dated: 9/14/06

Respectfully submitted,

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PTO/SB/08a/b (07-05)
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Complete if Known Substitute for form 1449A/B/PTO 10/690498-Conf. #6037 Application Number INFORMATION DISCLOSURE Filing Date October 23, 2003 STATEMENT BY APPLICANT Karlheinz Winter First Named Inventor Art Unit 1732 (Use as many sheets as necessary) **Examiner Name** Mark Eashoo, Ph.D. Sheet 1 32128-187212 of Attorney Docket Number

| U.S. PATENT DOCUMENTS |              |   |                  |                             |   |  |  |  |
|-----------------------|--------------|---|------------------|-----------------------------|---|--|--|--|
| Examiner<br>Initials* | Cite<br>No.1 | Document Number                           | Publication Date | Name of Patentee or         | Pages, Columns, Lines, Where                    |  |  |  |
|                       |              | Number-Kind Code <sup>2</sup> ( if known) | MM-DD-YYYY       | Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |  |  |  |
|                       | Α            | 4,243,773                                 | 01/06/1981       | ARNAUD et al.               |   |  |  |  |

| FOREIGN PATENT DOCUMENTS |      |   |                    |                              |   |  |  |  |  |
|--------------------------|------|---|--------------------|------------------------------|---|--|--|--|--|
| Examiner<br>Initials*    | Cite | Foreign Patent Document   | Publication        | Name of Patentee or          | Pages, Columns, Lines,                                |  |  |  |  |
|                          | No.1 | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | Date<br>MM-DD-YYYY | Applicant of Oldert December | Where Relevant Passages<br>or Relevant Figures Appear |  |  |  |  |
|                          | В    | DE 35 40 024 A1   | 05/22/1986         | KREIBIG M.                   |   |  |  |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \(^1\) Applicant's unique citation designation number (optional). \(^2\) See Kinds Codes of USPTO Patent Documents at \(\frac{\text{www.uspto.gov}}{\text{uspto.gov}}\) or MPEP 901.04. \(^3\) Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \(^4\) For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \(^5\) Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \(^6\) Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |              |   |                |  |  |  |
|---------------------------------|--------------|---|----------------|--|--|--|
| Examiner<br>Initials            | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |  |
|                                 |              |   |                |  |  |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

| Examiner Date      |    |
|--------------------|----|
| Signature Consider | ed |

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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## **TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**Application Number** 10/690,498 October 23, 2003 Filing Date **First Named Inventor** Karlheinz WINTER et al. **Group Art Unit** 1732

|   | Exami                    | ner Name   |       | Mark Eashoo, Ph.D.               |  |   |                                      |  |  |
|---|--------------------------|--|-------|----------------------------------|--|---|--------------------------------------|--|--|
| Total Number of Pages in  | Attorn                   | ey Docket Nu   | ımber | 32128-187                        | 7212   |   |                                      |  |  |
| ENCLOSURES (check all that apply)   |                          |  |       |                                  |  |   |                                      |  |  |
| Fee Transmittal Form  | n                        | Drawing(s)   |       |                                  |  | After Allowance Communication to TC         |                                      |  |  |
| ☐ Fee Attached  | Licensing-related Papers |  |       |                                  | Appeal Communication to Board of Appeals and Interferences     |   |                                      |  |  |
| Amendment / Reply   | Petition                 |  |       |                                  | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |   |                                      |  |  |
| After Final   |                          | Petition to Convert to a Provisional Application               |       |                                  |  | Proprietary Information                     |                                      |  |  |
| Affidavits/declara  | ation(s)                 | Power of Attorney, Revocation Change of Correspondence Address |       |                                  | ddress   | Statement Under 37 CFR 3.73(b)              |                                      |  |  |
| Extension of Time R   | equest                   | Terminal Disclaimer  |       |                                  |  | Other Enclosure(s) (please identify below): |                                      |  |  |
| <br>  | at Bassat                | Request for Refund   |       |                                  |  | 1 1   | Form PTO/SB/08A                      |  |  |
| Express Abandonme   | ent Request              | CD, Number of CD(s)  |       |                                  |  | 1 ′   | Russian Office Action<br>I Reference |  |  |
|   | Landscape Table on CD    |  |       |                                  |  |   |                                      |  |  |
| Certified Copy of Pric  | Remarks                  |  |       |                                  |  |   |                                      |  |  |
| Reply to Missing Par  |                          |  |       |                                  |  |   |                                      |  |  |
| Reply to Missing under 37 CFR 1   |                          |  |       |                                  |  |   |                                      |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |                          |  |       |                                  |  |   |                                      |  |  |
| Firm or V Individual name   | ENABLE LLP               |  |       |                                  | 26694 PATENT TRADEMARK OFFICE                                  |   |                                      |  |  |
| Signature   | R-7-2                    |  |       | Ryan M. Flandro, Reg. No. 58,094 |  |   |                                      |  |  |
| Date 9/14/06  |                          |  |       |                                  |  |   |                                      |  |  |
| CERTIFICATE OF TRANSMISSION/MAILING   |                          |  |       |                                  |  |   |                                      |  |  |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450b on the date shown below" |                          |  |       |                                  |  |   |                                      |  |  |
| Typed or printed name   |                          |  |       |                                  |  |   |                                      |  |  |
| Signature   |                          |  |       |                                  | Date   |   |                                      |  |  |



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|--|---|---|--------------------------------------|---|--------------------------|-----------------|----------------|--|--|--|
| Fees pursuant to   | the Consolidated Appropri   | ations Act, 2005 (H.R. 4818).           |                                      |   |                          |                 |                |  |  |  |
| FFF  | ETRANS  | MITTAL                                  | Application Number                   |   |                          |                 |                |  |  |  |
|  |   |   | Filing Date                          |   | October 23, 2003         |                 |                |  |  |  |
|  | For FY 20   | Ub                                      | First Named In                       |   | Karlheinz Winter         |                 |                |  |  |  |
|  |   |   |                                      |   | Mark Eashoo Ph.D.        |                 |                |  |  |  |
| <del> </del>   | t claims small entity statu   |   | Art Unit 1732                        |   |                          |                 |                |  |  |  |
| TOTAL AMOU   | NT OF PAYMENT   | (\$) 180.00                             | Attorney Docket                      | Attorney Docket No. 32128-187212              |                          |                 |                |  |  |  |
| METHOD OF  | PAYMENT (check a  | ill that apply)                         |                                      |   |                          |                 |                |  |  |  |
| Check Credit Card Money Order Other (please identify):   |   |   |                                      |   |                          |                 |                |  |  |  |
| X Deposit Account Deposit Account Number: 22-0261 Deposit Account Name: Venable LLP                |   |   |                                      |   |                          |                 |                |  |  |  |
| For the  | above-identified depor  | sit account, the Director               | is hereby authoriz                   | ed to: (check                                 | k all that apply)        |                 |                |  |  |  |
| x C  | harge fee(s) indicated  | below                                   | Charg                                | je fee(s) indi                                | icated below, ex         | cept for th     | e filing fee   |  |  |  |
|  | harge any additional fe<br>e(s) under 37 CFR 1.   | ee(s) or underpayment of<br>16 and 1.17 | f x Credit                           | any overpa                                    | yments                   |                 |                |  |  |  |
| FEE CALCU  | LATION (All the fee   | s below are due up                      | on filing or may                     | be subjec                                     | ct to a surcha           | rge.)           |                |  |  |  |
| 1. BASIC FILIN   | G, SEARCH, AND EX   | AMINATION FEES                          |                                      |   |                          |                 |                |  |  |  |
|  | FIL   |   | EARCH FEES                           | EXAMIN  | ATION FEES               |                 |                |  |  |  |
| Application T  | ype Fee (\$)  | Small Entity Fee (\$) Fee (             | Small Entity  \$) Fee (\$)           | Fee (\$)                                      | Small Entity<br>Fee (\$) | Fees F          | aid (\$)       |  |  |  |
| Utility  | 300   | 150 500                                 | 250                                  | 200   | 100                      |                 |                |  |  |  |
| Design   | 200   | 100 100                                 | 50                                   | 130   | 65                       |                 |                |  |  |  |
| Plant  | 200   | 100 300                                 | 150                                  | 160   | 80                       |                 |                |  |  |  |
| Reissue  | 300   | 150 500                                 | 250                                  | 600   | 300                      |                 |                |  |  |  |
| Provisional  | 200   | 100                                     | 0                                    | 0   | 0                        |                 |                |  |  |  |
| 2. EXCESS CL   | AIM FEES  |   |                                      |   |                          |                 | Small Entity   |  |  |  |
| Fee Description  |   |   |                                      |   |                          | <u>Fee (\$)</u> | Fee (\$)       |  |  |  |
|  | r 20 (including Reissu  | •                                       |                                      |   |                          | 50              | 25             |  |  |  |
|  | ent claim over 3 (inclu   | ding Reissues)                          |                                      |   |                          | 200             | 100            |  |  |  |
| Multiple depend  | dent claims   |   |                                      |   |                          | 360             | 180            |  |  |  |
| Total Claims   | Extra Claims  | Fee (\$) Fee                            | Paid (\$)                            | <u>Mu</u>                                     | Itiple Depende           | nt Claims       |                |  |  |  |
| HP = highest num   | - = x   |   |                                      | Fee   | <u> </u>                 | ee Paid (\$     | ì              |  |  |  |
| Indep. Claims  | Extra Claims  | •                                       | Paid (\$)                            |   |                          |                 | _              |  |  |  |
|  | . = X   | =                                       | 347                                  |   |                          |                 |                |  |  |  |
| HP = highest num   | er of independent claims pa   | aid for, if greater than 3.             |                                      |   |                          |                 |                |  |  |  |
| 3. APPLICATIO  | N SIZE FEE  |   |                                      |   |                          |                 | _              |  |  |  |
|  |   | ceed 100 sheets of pape                 |                                      |   |                          |                 |                |  |  |  |
|  | listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |   |                                      |   |                          |                 |                |  |  |  |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  |   |   |                                      |   |                          |                 |                |  |  |  |
| 100 = /50 (round up to a whole number) x =   |   |   |                                      |   |                          |                 |                |  |  |  |
| 4. OTHER FEE(S)  Fees Paid (\$)  |   |   |                                      |   |                          |                 |                |  |  |  |
| Non-English Specification, \$130 fee (no small entity discount)                                    |   |   |                                      |   |                          |                 |                |  |  |  |
| Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00 |   |   |                                      |   |                          |                 |                |  |  |  |
| SUBMITTED BY   |   |   |                                      |   |                          |                 |                |  |  |  |
| Signature  | Jes   |   | Registration No.<br>(Attorney/Agent) | 58,094  | Telephone                | (202) 344       | -4000          |  |  |  |
| Name (Print/Type)  | Ryan M. Flandro   |   | I (Amorrie)/Agent)                   | · <u>· · · · · · · · · · · · · · · · · · </u> | Date 9//                 | 4/06            |                |  |  |  |
|  |   |   |                                      |   | <del>' ' '</del>         |                 |                |  |  |  |